# <u>Annexure-V</u>

## FORM NO. MGT-9 EXTRACT OF ANNUAL RETURN AS ON THE FINANCIAL YEAR ENDED ON 31/03/2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

### I. REGISTRATION AND OTHER DETAILS

i.	CIN	L65993DL1994PLC60154
ii.	<b>REGISTRATION DATE</b>	11/07/1994
iii.	NAME OF COMPANY	Sunshine Capital
iv.	CATEGORY OF COMPANY	Company Limited By Shares
V	SUB-CATEGORY OF COMPANY	Indian Non-Government Company
vi.	ADDRESS OF COMPANY	209, Bhanot Plaza-II, 3 D.B. Road, New Delhi- 110005
vii.	LISTED/UNLISTED	Listed
viii.	NAME & ADDRESS OF RTA	Skyline Financial Services Pvt Ltd. D-153 A, 1 <sup>st</sup> Floor, Phase-I, Okhla Industrial Area, New Delhi-110020

#### **II. PRINCIPAL BUSINESS ACTIVIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated as below:

Sl. No	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
1.	Other Financial Services- Dealing in shares and securities & interest & dividend income	0649	100%

### **III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S.N.	Name and Address of the Company	CIN	Holding/ Subsidiary/ Associate	% of Shares Held				
	NIL							

# IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as Percentage of Total Equity)

i. Category-wise share Holding

Category of Shareholders	No. of shares held at the beginning of the year as on 01.04.2019				No. of shares held at the end of the year as on 31.03.2020			% Change during the year	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Share s	
A. PROMOTERS									
1. Indian									
a) Individual / HUF	7,16,200	-	7,16,200	5.5	7,16,200	-	7,16,200	5.5	(
b) Central govt.	-	-	-	-	-	-	-	-	
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies corp.	-	-	-	-	-	-	-	-	
e) Banks/FI	-	-	-	-	-	-	-	-	-
f) Any Others	-	-	-	-	-	-	-	-	-
	7,16,200	-	7,16,200	5.5	7,16,200	-	7,16,200	5.5	0

Sub Total A (1):									
2. Foreign									
a) NRIs – Individuals	-	-	-	-	-	-	-	-	-
b) Other – Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any Others	-	-	-	-	-	-	-	-	-
Sub Total A (2):	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter (A)=(A)(1)+ (A)(2)	7,16,200	-	7,16,200	5.5	7,16,200	-	7,16,200	5.5	0
B. Public Share holding									
1.Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/ FI	-	-	-	-	-	-	-	-	-
c) Central govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e)Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-

2.Non Institutions									
a) Bodies Corp.									
(i) Indian	5426000	2083300	7509300	57.67	5618395	2083300	77,01,695	59.15	1.48
(ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
(i)Individual Shareholders Holding nominal share capital up to Rs.2 lakh	125950	350400	4,76,350	3.66	4,75,945	-	4,75,945	3.66	-
ii)Individuals shareholders holding nominal share capital excess of Rs. 1 lakh/ 2 lakh	40,58,050	260000	43,18,050	33.16	41,26,050	-	41,26,050	31.68	(1.48)
c)Others (Specify)	1,000	-	1,000	0.01	1,010	-	1,010	0.01	0
sub-total (B) (2):-									
Total Public Shareholding (B)= (B) (2)	9610000	2694700	12304700	94.5	9875200	2429500	12304700	94.5	0
C. Share held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	10326200	2694700	13020900	100	10591400	2429500	13020900	100	0

# ii. Share Holding of Promoters

Shareholder's	Shareholding at the beginning of the year			Shareholding at the end of the year			% change inshare
Name	No. of shares	% of total Shares of the company	%of Shares Pledged / encumb	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumber ed to total	holding during the Year

			ered to total shares			shares	
Priti Jain	2,73,720	2.1	-	2,73,720	2.1	-	-
Babita Jain	2,54,000	1.95	-	2,54,000	1.95	-	-
Surendra Kumar Jain	1,88,180	1.45	-	1,88,180	1.45	-	-
Virendra Jain	300	0	-	300	0	-	-
TOTAL	7,16,200	5.5	-	7,16,200	5.5	-	-

## iii. Change in Promoters shareholding (Please Specify, if there is no change)

PARTICULARS		the beginning of the year	Cumulative	Shareholding during the year
	No. of Share	% of total of share of company	No. of share	% of Total shares of the company
At the beginning of the year	7,16,200	5.5	7,16,200	5.5
Date wise Increase/Decrease in Promoters Share holding the year specifying the reasons for increase / decrease (e.g. allotment/transfer /bonus/sweat equity etc.)	-	-	-	-
At the end of year	7,16,200	5.5	7,16,200	5.5

# iv. Shareholding Pattern of top ten shareholders (other than Directors, Promoters and Holder of GDRs and ADRs)

	Shareholding A	at the beginning of the	Cumulative Shareholding during the year		
		year			
For Each of the Top	No. of Shares % of total shares of		No. of shares	% of total of	
10 Shareholders		the company		the company	
At the beginning of the	4054100	20.07	4054100	20.05	
year	4954100	38.07	4954100	38.07	

Date wise				
Increase/Decrease in				
Shareholding during				
the year specifying the	-	-		
reasons for increase /				
decrease (e.g.				
allotment/transfer				
/bonus/sweat equity etc.)				
At the end of year (or on			10.5.11.00	
the date of separation	4954470	38.07	4954100	38.07
during the year)				

### v. Shareholding of Director and Key Managerial Personnel

	Shareholding at the beginning of the year			Cumulative Shareholding during the year			
For Each ofthe Directors and KMP	No. of Shares	% of total shares of the company	No, of shares	% of total shares of the company			
At the beginning of the year	706180	5.43	706180	5.43			
Date wise Decrease in Shareholding the year specifying the reasons for increase / decrease	258000	1.98	258000	1.98			
At the end of year	448180	3.45	448180	3.45			

### (vi) INDEBTEDNESS

Indebtedness of the company including interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the				
beginning of the year				
(i)Principal Amount	-	-	-	-
(ii)Interest due but not paid	-	-	-	-
(iii)Interest accrued but not due				
	-	-	-	-
Total (i+ii+iii)				

Change in Indebtedness				
during the financial year	-	-	-	-
> Addition				
Reduction				
Net Charge	-	-	-	-
Indebtedness at the end of the				
financial year (i)Principal				
Amount (ii)Interest due but not				
paid (iii)Interest accrued but not	_	_	_	_
due	_	_		_
aue				
Total (i+ii+iii)	_	_	_	_

#### vii. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to managing Director, Whole-time Directors and / or Manager: SURENDRA KUMAR JAIN (MANAGING DIRECTOR)

S.I.No	Particulars of Remuneration	Key Manage	rial Personn	el	Total Amount	
		M.D	WTD	Mana		
				ger		
1.	Gross Salary	18,00,000	-	-	18,00,000	
	(a) Salary as per provisions contained in section 17(1) of the Income –tax Act,1961	-	-	-	-	
	<ul> <li>(b) Value of perquisites u/s 17(2) Income tax Act, 1961</li> <li>(c) Profit in lieu of salary under section 17(3) Income tax Act,1961</li> </ul>	-	-	-	-	
2.	Stock Option	-	-	-	-	
3.	Sweat Equity	-	-	-	-	
4.	Commission - As % of profit - Others, Specify	-	-	-	-	
5.	Others, please specify	-	-	-	-	
	Total (A)	18,00,000	-	-	18,00,000	

### **B.** Remunerations to others Director

Particulars of Remuneration	Name of Director				Total Amount
	Mr.				
	Sujan				
	Mal				
	Mehta				

	1. Independent Directors					
	• Fee for attending board					
	committee meetings	_	_	-	-	-
	Commission					
	• Others, Please specify					
	o there, i rease speenly					
	Total (1)	-	-	-	-	-
	2. Other Non – Executive Directors					
	• Fee for attending board					
	committee meetings	18,000	_	_	-	18,000
	Commission	10,000				10,000
	• Others, please specify					
	e mens, preuze speens					
	Total (2)					
		18,000	-	-	-	18,000
	Total (B) = $(1+2)$					
		18,000	-	-	-	18,000
	Total Managerial Remuneration					, ,
		18,000	-	-	-	18,000
	Overall Ceiling as per the Act	-	-	-	-	-
-						

### C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/ MANAGER/WTD: APOORVA CHATURVEDI (COMPANY SECRETARY)

SL. No.	Particulars of Remuneration	Key Managerial Personnel					
		CEO	COMPANY SECRETARY		CF O	Total	
1.	Gross Salary		Aastha Mishra	Apoorva Chaturvedi			
	(a) Salary as per provisions contained in section 17(1) of the Income –tax Act,1961	-	41,200	1,24,165	-	1,65,365	
	(b) Value of perquisites u/s 17(2) Income tax Act, 1961	-			-		
	(c) Profit in lieu of salary under section 17(3) Income tax Act,1961	-			-		
2. 3.	Stock Option Sweat Equity	-			-		

4.	Commission - As % of profit - Others specify	-		-	
5.	Others, Please specify	-		-	
	Total	-	41,200 1,24,165	-	1,65,365

## (VII) PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES

Туре	Section of the companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT ]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFIC	CERS IN DEFAU	LT			
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-